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Attorney Docket No.: 39754-0531A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Andrew SAXON, et al.

Application Serial No: 09/770,169

Filed: January 26, 2001

For: **Immunoglobulin Class Switch  
Recombination**

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) Group Art Unit: 1644  
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) Examiner: Schwadron, Ronald B.  
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**EXPRESS MAIL LABEL NO. EL 993691376 US**  
**Date Mailed: April 1, 2004**

**SEQUENCE SUBMISSION STATEMENT**

**Mail Stop: Sequence**  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

This is in response to the Notice to Comply with Requirements for Patent Applications  
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures, mailed March 4, 2004.

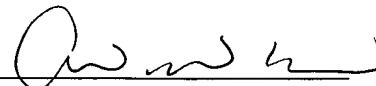
I hereby state that the Sequence Listing information recorded in computer readable form is  
identical to the written Sequence Listing submitted herewith as required in 37 C.F.R. § 1.821(f) and  
(g). I further state that these submissions include no new matter.

Please charge any additional fees, including any fees for additional extensions of time, or  
credit overpayment to Deposit Account No. 08-1641, referencing attorney's docket no. 39754-0531A

Respectfully submitted,

Dated: April 1, 2004

By:

  
Ginger R. Dreger; Reg. No. 33,055

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4/1/04 10:13 AM (39754-0531)



Application No.: 09/770169

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: see enclosed communication

**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

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